

# Bridging the Data Gap between Training and Inference for Unsupervised Neural Machine Translation

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SHANGHAI JIAO TONG  
UNIVERSITY



Tencent  
AI Lab

# Outline

- 1 Introduction
- 2 The Overestimated UNMT
- 3 Data Gap
  - Style Gap
  - Content Gap
- 4 Our approach
  - Online Self-training
  - Main Results
  - Natural-to-Natural Translation
  - Named Entities Translation
- 5 Summary

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# Unsupervised Neural Machine Translation (UNMT)

- Goal: train a neural machine translation (NMT) system using only monolingual corpora



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## Steps of online back-translation

Given translation task  $X \rightarrow Y$ , for each batch:

- 1  $x^* = \arg \max_x P_{Y \rightarrow X}(x | y; \tilde{\theta})$
- 2 construct sample  $(x^*, y)$
- 3 train the model using  $(x^*, y)$

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\* denotes translated text.

## Data Gap between Training and Inference of UNMT

	Source	Target
Train	$\mathcal{X}^*$	$\mathcal{Y}$
Inference	$\mathcal{X}$	$\mathcal{Y}^*$

Table 1: Types of training and inference data. \* stands for translated sentences.

- The model is trained with **translated source** ( $\mathcal{X}^*$ ).
- But it translates **natural source** ( $\mathcal{X}$ ) sentences in inference.

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- The model is trained with **translated source** ( $\mathcal{X}^*$ ).
- But it translates **natural source** ( $\mathcal{X}$ ) sentences in inference.

The source discrepancy between training and inference hinders the translation performance of UNMT models.

\* denotes translated sentences.

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# The Overestimated UNMT

Supervised NMT (SNMT) v.s. Unsupervised NMT (UNMT)

Model	En-Fr		En-De		En-Ro		Avg.
	⇒	⇐	⇒	⇐	⇒	⇐	
<b>Full Test Set</b>							
SNMT	38.4	33.6	29.5	33.9	33.7	32.5	33.6
UNMT	37.8	34.9	27.1	35.2	35.1	33.4	33.9
<b>Target-Original Test Set / Translated Input</b>							
SNMT	37.4	32.4	25.6	37.1	38.2	28.2	33.2
UNMT	<b>39.2</b>	<b>37.6</b>	<b>27.0</b>	<b>42.9</b>	<b>43.1</b>	<b>35.6</b>	<b>37.6</b>
<b>Source-Original Test Set / Natural Input</b>							
SNMT	<b>38.2</b>	<b>34.1</b>	<b>32.3</b>	<b>28.8</b>	<b>29.4</b>	<b>35.9</b>	<b>33.1</b>
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- Full set: UNMT  $\approx$  SNMT (previous works)

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- Two parts of the test set
    - target-original: sentence pairs originally written in **target** language
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  - Tgt-Ori: UNMT  $>$  SNMT

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- Two parts of the test set
    - target-original: sentence pairs originally written in **target** language
    - source-original: sentence pairs originally written in **source** language
- Full set: UNMT  $\approx$  SNMT (previous works)
  - Tgt-Ori: UNMT > SNMT
  - Src-Ori: UNMT < SNMT (**what we need**)

# The Overestimated UNMT

Supervised NMT (SNMT) v.s. Unsupervised NMT (UNMT)

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UNMT is overestimated on the previous benchmark.

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## Style Gap

When training, the input is in translated style; while in inference, it's in the natural style.

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<b>Inference Input</b>	<b>PPL</b>
Natural	242
Translated	219

**Table 2:** UNMT has a lower perplexity on the translated input than on natural input.

## Style Gap

When training, the input is in translated style; while in inference, it's in the natural style.

Inference Input	PPL
Natural	242
Translated	219

Table 2: UNMT has a lower perplexity on the translated input than on natural input.

Model	Natural In.		Translated In.	
	BLEU	$\Delta$	BLEU	$\Delta$
SNMT	28.8	-	44.9	-
UNMT	22.5	-6.3	42.1	-2.8

Table 3: The performance of UNMT is significantly improved after the input is switched from natural to translated style.

## Content Gap

The content of input in training is biased towards the target language. While the input during inference is more biased towards the source language.



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Data	Most Frequent Name Entities
Src-Ori Test	Deutschland, Stadt, CDU, deutschen, Zeit SPD, USA, deutsche, China, Mittwoch
Tgt-Ori Test	Großbritannien, London, Trump, USA, Russland, Vereinigten Staaten, Europa Mexiko, Amerikaner, Obama
UNMT Train	Deutschland, dpa, USA, China, Obama, Stadt Hause, Europa, Großbritannien, Russland

10 most frequent entities in the source sentences of De-En translation

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10 most frequent entities in the source sentences of De-En translation

- The training data of UNMT has more entities biased towards the target language **English** rather than the expected source language **German**.

## Content Gap - Hallucinated Translation

Input	Die <b>deutschen</b> Kohlekraftwerke ... der in <b>Deutschland</b> emittierten Gesamtmenge .
Ref	<b>German</b> coal plants , ..., two thirds of the total amount emitted in <b>Germany</b> .
SNMT	..., <b>German</b> coal-fired power stations ... of the total emissions in <b>Germany</b> .
UNMT	<b>U.S.</b> coal-fired power plants ... two thirds of the total amount emitted in the <b>U.S.</b> ... .

Table 4: Example translation that the UNMT model outputs the hallucinated translation “U.S.”, which is biased towards target language English.

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## Online Self-training

### Recap: steps of online back-translation

Given translation task  $X \rightarrow Y$ , for each batch:

- 1  $x^* = \arg \max_x P_{Y \rightarrow X}(x | y; \tilde{\theta})$
- 2 construct sample  $(x^*, y)$
- 3 train the model using  $(x^*, y)$

### Ours: steps of online self-training

Given translation task  $X \rightarrow Y$ , for each batch:

- 1  $x^* = \arg \max_x P_{Y \rightarrow X}(x | y; \tilde{\theta})$
- 2 construct sample  $(x^*, y)$
- 3 reverse the sample and get  $(y, x^*)$
- 4 train the model using  $(x^*, y)$  and  $(y, x^*)$ <sup>1</sup>

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<sup>1</sup>UNMT models are typically bi-directional.

# Main Results

Testset	Model	Approach	En-Fr		En-De		En-Ro		Avg.	$\Delta$
			$\Rightarrow$	$\Leftarrow$	$\Rightarrow$	$\Leftarrow$	$\Rightarrow$	$\Leftarrow$		
<i>Our Implementation</i>										
Full set	XLM	UNMT	37.4	34.5	27.2	34.3	34.6	32.7	33.5	-
		+Self-training	<b>37.8</b>	<b>35.1</b>	<b>28.1</b>	<b>34.8</b>	<b>36.2</b>	<b>33.9</b>	<b>34.3</b>	+0.8
	MASS	UNMT	37.8	34.9	27.1	35.2	35.1	33.4	33.9	-
		+Self-training	<b>38.0</b>	<b>35.2</b>	<b>28.9</b>	<b>35.6</b>	<b>36.5</b>	<b>34.0</b>	<b>34.7</b>	+0.8
Trg-Ori	XLM	UNMT	39.1	36.5	<b>26.6</b>	42.2	42.1	<b>34.4</b>	36.8	-
		+Self-training	<b>39.3</b>	<b>37.8</b>	26.5	<b>42.4</b>	<b>42.9</b>	34.1	<b>37.2</b>	+0.4
	MASS	UNMT	<b>39.2</b>	<b>37.6</b>	27.0	<b>42.9</b>	<b>43.1</b>	<b>35.6</b>	<b>37.6</b>	-
		+Self-training	39.0	37.3	<b>27.7</b>	42.7	42.9	35.3	37.5	-0.1
Src-Ori	XLM	UNMT	34.7	<b>30.4</b>	26.6	22.5	27.4	30.6	28.7	-
		+Self-training	<b>35.4</b> <sup>↑</sup>	30.2	<b>28.0</b> <sup>↑</sup>	<b>23.1</b> <sup>↑</sup>	<b>29.6</b> <sup>↑</sup>	<b>32.7</b> <sup>↑</sup>	<b>29.8</b>	+1.1
	MASS	UNMT	35.2	30.2	26.1	23.6	27.4	30.8	28.9	-
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Trg-Ori	XLM	UNMT	39.1	36.5	<b>26.6</b>	42.2	42.1	<b>34.4</b>	36.8	-
		+Self-training	<b>39.3</b>	<b>37.8</b>	26.5	<b>42.4</b>	<b>42.9</b>	34.1	<b>37.2</b>	+0.4
	MASS	UNMT	<b>39.2</b>	<b>37.6</b>	27.0	<b>42.9</b>	<b>43.1</b>	<b>35.6</b>	<b>37.6</b>	-
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	MASS	UNMT	37.8	34.9	27.1	35.2	35.1	33.4	33.9	-
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Trg-Ori	XLM	UNMT	39.1	36.5	<b>26.6</b>	42.2	42.1	<b>34.4</b>	36.8	-
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## Output Fluency

Approach	En-Fr		En-De		En-Ro		Avg.
	⇒	⇐	⇒	⇐	⇒	⇐	
<b>XLM</b>							
UNMT	101	147	250	145	152	126	154
+ST	101	144	253	147	156	138	157
<b>MASS</b>							
UNMT	100	145	256	144	143	119	151
+ST	103	146	263	142	156	133	157

- We evaluate the output fluency in terms of perplexity (PPL) with trained language models.

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UNMT	101	147	250	145	152	126	154
+ST	101	144	253	147	156	138	157
<b>MASS</b>							
UNMT	100	145	256	144	143	119	151
+ST	103	146	263	142	156	133	157

- We evaluate the output fluency in terms of perplexity (PPL) with trained language models.
- Slight impact on the fluency of model outputs, with the average PPL of XLM and MASS models only increasing by +3 and +6, respectively.

## Natural-to-Natural Translation

Model	HQ(R)	HQ(all 4)
Supervised Model	35.0	27.2
XLM+UNMT	24.5	19.6
+Self-training	<b>25.9</b>	<b>20.7</b>
--- MASS+UNMT ---	24.3	19.6
+Self-training	<b>26.0</b>	<b>20.8</b>

- Google provides natural-to-natural test sets based on WMT19 En $\Rightarrow$ De, whose references have been paraphrased by experts<sup>1</sup>.

<sup>1</sup><https://github.com/google/wmt19-paraphrased-references>

## Natural-to-Natural Translation

Model	HQ(R)	HQ(all 4)
Supervised Model	35.0	27.2
XLM+UNMT	24.5	19.6
+Self-training	<b>25.9</b>	<b>20.7</b>
MASS+UNMT	24.3	19.6
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- We adopt the HQ(R) and HQ(all 4), which have higher human adequacy rating scores.
- Our proposed method outperforms baselines on both kinds of test sets.

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## Named Entities Translation

Model	Approach	NE Acc.
XLM	UNMT	0.46
	+Self-training	<b>0.53</b>
MASS	UNMT	0.44
	+Self-training	<b>0.52</b>

- Our proposed method achieves a significant improvement in the translation accuracy of named entities compared to the baseline.

# Outline

- 1 Introduction
- 2 The Overestimated UNMT
- 3 Data Gap
  - Style Gap
  - Content Gap
- 4 Our approach
  - Online Self-training
  - Main Results
  - Natural-to-Natural Translation
  - Named Entities Translation
- 5 Summary

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- We identify two critical factors: style gap and content gap.
- We propose a simple and effective approach for incorporating the self-training method into the UNMT framework to remedy the data gap.
- Code, data, and trained models are available:  
<https://github.com/zwhe99/SelfTraining4UNMT>

